

## TTAB 15625090

March 6, 2007

David A. Allgeyer, Esquire Lindquist & Vennum 4200 IDS Center 80 South Eighth Street Minneapolis, MN 55402

RE: In the matter of Trademark Registration No. 2,390,988, et al.

Deposition of: Stuart Brady Utgaard

Dear David:

Enclosed is a copy of the deposition transcript of Stuart Brady Utgaard In the back of this transcript, you will find a witness correction sheet. I ask that you make arrangements for the deponent to read the transcript and note on the correction sheet any changes or corrections, indicating the page(s), the line(s), and the change(s) that should be made.

Once this procedure has been completed, please have the deponent sign the correction page and forward the **ORIGINAL** signed correction page to Commissioner for Trademarks 2900 Crystal Drive Arlington, VA 22202.

You have 30 days to complete this process. If you have any questions, please do not hesitate to contact our office.

Sincerely,

Amy Bartholomew

Production Manager

Enclosure/pm

CC: All counsel



03-08-2007

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the matter of Trademark Registration No. 2,390,988

For the mark SPORTSMAN'S WAREHOUSE HUNTING FISHING CAMPING RELOADING OUTERWEAR FOOTWEAR and Design

ORIGINAL

Date registered: October 3, 2000

BASS PRO TRADEMARKS, LLC,

Cancellation No. 92045000

Petitioner,

vs.

SPORTSMAN'S WAREHOUSE, INC.,

Respondent.

DEPOSITION OF DALE RICHARD SMITH

TAKEN AT:

The Offices of

Sportsman's Warehouse, Inc.,

7035 High Tech Drive Midvale, Utah 84047

DATE:

Tuesday, February 20, 2007

TIME:

11:43 a.m.

REPORTED BY:

Scott M. Knight, RPR

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                              APPEARANCES
2
3
    FOR PETITIONER:
    DUTRO E. "BRUCE" CAMPBELL, II, ESQ.,
    HUSCH & EPPENBERGER
       190 Carondelet Plaza, Suite 600
      St. Louis, Missouri 63105-3441
7
8
9
    FOR RESPONDENT:
10
    DAVID A. ALLGEYER, ESQ.,
11
    LINDQUIST & VENNUM
12
       4200 IDS Center
13
       80 South Eighth Street
       Minneapolis, Minnesota 55402
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              Deposition of Dale Richard Smith
 2
                     February 20, 2007
 3
                         PROCEEDINGS
 4
                DALE RICHARD SMITH, called as a witness
 5
     for and on behalf of the Respondent, being first
     duly sworn, was deposed and testified as follows:
 7
        EXAMINATION
        BY-MR.ALLGEYER:
 8
                Good morning. Please state your full
        0.
10
     name for the record.
11
                Dale Richard Smith.
12
                Mr. Smith, how are you currently
        0.
13
     employed?
14
        Α.
                Sportsman's Warehouse.
15
        0.
               And what's your position?
16
        Α.
               My title is president.
17
               Okay. Tell me where you grew up.
        0.
18
        Α.
               Right here in Salt Lake City.
19
               All right. And where did you go to
        Q.
20
     school?
21
        A.
               Brighton High.
22
               Okay. And you graduated from there.
        Q.
23
     And where did you work after that?
24
        Α.
                I worked at the Heather Restaurant, and
25
     then I went to work for Safeway grocery stores
```

- for about fifteen years.
- Q. What did you do for them? Kind of work
- 3 your way up?
- 4 A. From bagger all the way up to assistant
- 5 manager.
- 6 Q. Okay. And then how about after that?
- 7 A. After that the Safeway division was
- 8 sold out in Salt Lake, and I went to work for
- 9 Shopko stores.
- 10 Q. Shopko. And what kind of work did you
- 11 do for them?
- 12 A. I was what was called a merchandise
- manager.
- 14 O. Okay. And what was your next position
- 15 after that?
- 16 A. One of my store managers that I had--I
- 17 knew from Safeway opened up his own grocery store,
- and I went to work for him for a couple of years.
- 19 Q. Okay. And how about after that?
- 20 A. And then my father-in-law was--he
- opened in 1986, but he had started to gain some
- 22 momentum and asked me to come work for him, so I
- 23 did some double duty. I went part time in
- 24 grocery and came full time on at Sportsman's. At
- that time it was called Sports Warehouse.

- 1 Q. And about what year was that? Do you
- 2 remember?
- 3 **A**. **1991**.
- Q. Okay. And from 1991 to present, have
- 5 you worked your way up through the company?
- 6 A. Yes.
- 7 Q. I want to talk about--a little bit
- 8 about the growth of the company. And I want to
- 9 focus on the growth since the year 2000. Let's
- 10 go back a little further than that. Now, when
- 11 you first started working for what was then called
- 12 Sports Warehouse, which later became Sportsman's
- 13 Warehouse, there was just a single store in Utah?
- 14 A. Yes, one store. It was over on Third
- 15 West.
- 16 O. Was that in Midvale?
- 17 A. Yes, just on the other side--Third
- West, so it's not even a mile from here.
- 19 Q. Where we're sitting now is--
- A. Became our new store at that time.
- 21 Q. Okay. All right. And what city are we
- in now?
- A. Midvale, Utah.
- Q. And at some point in the history of
- 25 Sportsman's Warehouse, did it sort of start to

- 1 take off and grow, adding stores and so forth?
- A. Not until Stu purchased it in 1996. I
- mean, our takeoff was going from that small
- 4 10,000-square-foot store to 30,000-square-foot
- 5 store.
- 6 Q. And when Mr. Utgaard got involved, you
- 7 eventually went up to 58 stores?
- 8 A. Yes.
- 9 Q. Let's focus on the growth of
- 10 Sportsman's Warehouse business since 2000. Okay?
- 11 Can you just generally describe from your point of
- 12 view as someone involved in the business how it
- 13 grew--how the business grew since 2000?
- 14 A. It--Stu--when Stu purchased it back in
- 15 '96, he said, Stick with me and we're going to
- 16 move fast. And he has been correct to that word.
- I mean, we've opened--basically grown almost 50
- 18 percent a year on store students. Last year we
- 19 did cut back a little bit. And I think our
- growth percentage-wise is about 20 percent a year
- 21 now. Fifteen stores last year, and I believe we
- had eight on the docket for this year.
- Q. And how about geographically? How did
- 24 the store expand?
- A. Well, first it was mainly in the

- 1 intermountain--Utah, Idaho, Colorado. And now
- we're all the way from Alaska to South Carolina.
- Now, I'd like to talk to you a little
- 4 bit about sort of the products and services that
- 5 are available at Sportsman's Warehouse. In your
- 6 duties as president of Sportsman's Warehouse, have
- you become familiar with the various products and
- 8 merchandise available in a typical Sportsman's
- 9 Warehouse retail store?
- 10 A. Yes.
- 11 Q. Okay. Now, by the way, are most of the
- 12 retail stores about as broad-ranged in merchandise
- as others or are some stores broader range or how
- 14 does that work?
- 15 A. Maybe in fishing category, the mix
- 16 might be slightly different, but for the average
- 17 consumer, he wouldn't notice a difference. Stu
- 18 likes a cookie-cutter effect.
- 19 Q. All right. And so what are some of
- 20 the--give me some examples of some of the least
- 21 expensive products that are available in a
- 22 Sportsman's Warehouse.
- A. Well, we sell candy bars at 50 cents
- 24 and flies at 89 cents and several items that are
- 25 in that dollar-or-under range.

- 1 Q. Okay. And is there sort of a middle
- 2 range of products available at this store?
- 3 **A**. Yes.
- 4 Q. Give me some examples of those.
- 5 A. Propane stove, \$39, and--I mean,
- 6 several. We have several price points with those
- 7 50,000 SKUs per store.
- 8 Q. Okay. How about the highest and most
- 9 expensive products available in a Sportsman's
- 10 Warehouse store? What would those be?
- 11 A. That would be probably be a firearm or
- 12 a safe. And they'd be in the \$2,000 range. And
- 13 we have been known to special-order firearms for
- 14 even more than that, so--
- 15 Q. And I think you told me this, but you
- 16 said something about--you gave me a number of
- 17 SKUs. First of all, what's a SKU?
- 18 A. Stock keeping unit, how we track the
- sales and the history of a particular item.
- 20 Q. Okay. And how many different SKUs did
- 21 you say that you have in a typical store?
- 22 A. In a typical store, 50,000.
- Q. Okay. And does the organization itself
- 24 have more SKUs than that?
- A. Yes, we have--I believe like 160,000

active SKUs.

1

- 2 Q. And a SKU is just a way of referring to
- 3 a particular type of product? Is that the idea?
- 4 A. Yes.
- 5 Q. In 1994 and 1995, we're you involved in
- 6 overseeing or working with the advertising for the
- 7 Sportsman's Warehouse--well, Sports Warehouse and
- 8 Sportsman's Warehouse stores?
- 9 A. Yes, along with my father-in-law and my
- 10 wife.
- 11 Q. Okay. Let's mark this Trial Exhibit
- 12 Smith 1.
- 13 Exhibit-1 marked
- 14 BY-MR.ALLGEYER:
- 15 Q. Okay. Showing you now--(To Mr.
- 16 Campbell) did I give you one?
- MR. CAMPBELL: Yeah.
- 18 BY-MR.ALLGEYER:
- 19 Q. Okay. Showing you, showing you what's
- 20 been marked Trial Exhibit 1, Smith, do you
- 21 recognize that document as a Sportsman's Warehouse
- 22 advertisement from the Deseret News?
- 23 A. Yes.
- Q. And if you look at the upper left,
- there's a date. Can you please read that date

- 1 for the record?
- A. Thursday, June 15, 1995.
- 3 Q. And did you in fact, as Sportsman's
- 4 Warehouse, run this ad on June the 15th, 1995, in
- 5 the Deseret News?
- 6 A. Yes.
- 7 Q. Okay. What's the Deseret News,
- 8 incidentally?
- 9 A. At that time it was affiliated with
- 10 Salt Lake Tribune. It was just an evening
- 11 edition.
- 12 Q. And The Salt Lake Tribune, is that a
- 13 newspaper of general circulation--
- 14 A. Yes.
- 15 Q. --in the Salt Lake area? Is that the
- 16 largest--
- 17 A. Yes.
- 18 O. --circulation in the Salt Lake area?
- 19 Why did you choose the Deseret News as
- one of the publications to advertise in?
- A. At that time, I mean, that just came
- 22 part of the package. We actually chose the
- Tribune, but you get the ad in both papers.
- Q. Oh, I see. So you necessarily also had
- 25 this same ad in the Salt Lake City Tribune?

- 1 A. Yes. It would have been the morning.
- The Tribune was the morning edition; the Deseret,
- 3 the evening edition.
- 4 MR. ALLGEYER: Let's mark this.
- 5 Exhibit-2 marked
- 6 BY-MR.ALLGEYER:
- 7 Q. I'm going to show you what I marked as
- 8 Smith Trial Exhibit 2. And, first of all, who is
- 9 William Hayes?
- 10 A. He's the former owner and my father-in-
- 11 law.
- 12 Q. Do you recognize his handwriting?
- $oldsymbol{1}3$  A. Yes.
- 14 O. Is this document from the business
- records of Sportsman's Warehouse?
- 16 A. It appears to be, yes.
- 17 Q. And do you recognize this as a document
- 18 signed by Mr.--
- 19 A. --William T. Hayes.
- 20 Q. Is that correct? You do recognize his
- 21 signature on here?
- 22 **A**. Yes.
- 23 Q. This appears to be a business
- 24 registration or DBA application for Sportsman's
- 25 Warehouse. Is that correct?

- 1 A. Yes.
- Q. Okay. Now, do you recall that sometime
- 3 in 1994 and 1995 you made a determination to
- 4 change the name of Sports Warehouse to Sportsman's
- 5 Warehouse?
- 6 A. Yes, I do.
- 7 Q. Okay. And did you discuss that name
- 8 change with Mr. Hayes?
- A. A little bit. Mainly a lot of times
- 10 before he finally made the decision. I mean, I
- 11 was on the floor more so than he was, and we had
- 12 several customers that would come in looking for
- $^{13}$  athletics or golf clubs or baseballs and several
- 14 customers comment you ought to change the name to
- 15 "Sportsman's Warehouse." I think that's kind of
- 16 what started this.
- 17 Q. And does this reflect that name change
- or the process of that name change?
- 19 A. Yes.
- 20 Q. And was this formal business name
- 21 registration done at or about the time that you
- 22 were changing the name of the--of Sports Warehouse
- 23 to Sportsman's Warehouse?
- 24 **A**. Yes.
- Q. Okay. Now, I note that there's--in the

- 1 lower left-hand corner, there's a certification
- 2 that this document was filed and approved on some
- day or other, it looks like it's 2/1/95. Can you
- 4 make that out?
- 5 A. That's what it looks like to me, 2/1/
- 6 95.
- 7 Q. Does that look to be the same does that
- 8 fit in your time scheme of when you--
- 9 A. Yes, it does.
- 10 Q. --when the name was changed to
- 11 Sportsman's Warehouse?
- 12 (A discussion was held off the record.)
- 13 BY-MR.ALLGEYER:
- 14 Q. You might recall during your deposition
- we had a discussion about people coming to Pacific
- 16 Flyway and finding themself at Sportsman's
- 17 Warehouse to get goods at wholesale. Do you
- 18 recall that testimony at all?
- 19 A. Yes, that was a common occurrence.
- 20 Q. Can you just describe for the record
- your best recollection of that common occurrence,
- in other words, what--how that would happen?
- A. Well, a lot of times mainly at this
- location because we were right next to each other,
- 25 a customer would go into Pacific Flyway, I would

- 1 get a call from Gerald Christensen, saying he
- needs this, he needs that, he needs such-and-such,
- 3 do you have it. And I would search it out and
- 4 call him back: "Yes, I have these goods," and he
- 5 would make the sale over at Pacific.
- 6 Q. Okay. And would the goods then come
- 7 from the Sportsman's Warehouse?
- 8 A. Yes.
- 9 Q. And would they--they would be sold at
- 10 the price that you would be giving to other
- 11 retailers as opposed to what you would give to a
- 12 customer. Is that right?
- 13 A. Yes.
- 14 Q. Have there been other examples of
- selling goods that you're aware of at wholesale
- 16 prices from Sportsman's Warehouse over the years?
- 17 A. Yes, a few.
- 18 Q. Can you give me a few examples of
- 19 those?
- 20 A. The one that comes to mind that happens
- 21 mainly every Christmastime is work where for
- 22 contractors--and is mainly Carhartt jackets or
- 23 bibs that they like to give their crew. And we
- offer them at a pretty good discount depending on
- volume.

- 1 Q. And then when Sportsman's Warehouse was
- 2 in its sort of earlier days, did you find
- 3 yourself ever supplying goods to other--apart from
- 4 what happened at Pacific Flyway?
- 5 A. Right.
- 6 Q. But to other retailers in the sporting
- 7 goods industry?
- 8 A. Yes. We were a common source for a lot
- 9 of mom-and-pop shops.
- 10 Q. Okay. Tell me how that would work.
- 11 When would they come to buy the goods?
- 12 A. Inside the store, fill up their basket
- 13 and they would ask for a discount. And to them,
- 14 that was wholesale pricing.
- Q. And then they would, as far as you
- 16 understood, then, retail it to others?
- 17 A. Yes, they did.
- 18 Q. And did they tell you that they were in
- 19 the business that's what they were doing?
- 20 Yes, they did.
- Q. Did you know some of them personally?
- 22 ··· A. ··· I did not. ···
- 23 Q. In the recent couple of years, have you
- taken some steps at Sportsman's Warehouse to
- 25 oversee the way in which your logos and marks are

- 1 used?
- 2 A. Yes.
- Q. And give me an example of some of the
- 4 things you've done to standardize use of your
- 5 logos and marks.
- A. Well, the biggest thing, anything that
- 7 goes into print has to be okayed by Rich Coles in
- 8 our advertising department. And Rich also sent
- 9 out just basically a correct way and a wrong way
- of our logo, and the way it's been in print over
- 11 a period of time.
- 12 Q. Okay. And have you either--either at
- 13 your direction or with your permission, are you
- 14 aware of any training that Mr. Coles has done of
- store managers on how to use the logo correctly
- 16 and how not to use it?
- 17 A. Just with that sheet that he sent out.
- 18 And then, you know, if he happened to see it, he
- 19 would call them directly and say, Hey, that's not
- 20 the right logo.
- 21 Q. And is it your policy to correct any
- 22 errors or problems?
- 23 **A.** Yes.
- Q. That are made in use of your trademark
- or logo or use of the registered symbol?

- 1 A. Absolutely.
- Q. Okay. As far as you know, has anybody
- on behalf of Sportsman's Warehouse intentionally
- 4 misused the registered federal registration
- 5 symbol, circle R, to try to fool people about
- 6 whether you had a federal registration for a mark
- 7 or not?
- 8 A. No.
- 9 Q. Would you have any reason to do that?
- 10 **A.** No.
- MR. ALLGEYER: That's all I have. You
- 12 may cross--you may inquire.
- 13 EXAMINATION
- 14 BY-MR.CAMPBELL:
- 15 Q. Okay. I just have a few quick
- 16 questions. You don't have any documentation of
- any specific wholesale sales made by Sportsman's
- 18 Warehouse. Do you?
- 19 A. I, I myself do not.
- Q. Okay. You're not aware of Sportsman's
- 21 Warehouse, the company actually keeping a record
- of any wholesale sales being made. Is that
- 23 correct?
- A. No, we don't.
- Q. Okay. You don't have any wholesale

- 1 price listings that are maintained by Sportsman's
- 2 Warehouse for sales of its goods. Is that right?
- 3 A. No, we do not.
- Q. Okay. And you don't have any
- 5 Sportsman's Warehouse wholesale customer list.
- 6 Correct?
- 7 A. No.
- 8 Q. Okay. You had kind of described a
- 9 couple different, I guess, tiers of product
- 10 pricing in this Sportsman's Warehouse stores. You
- 11 remember giving some testimony on that a few
- 12 minutes ago?
- 13 A. Tiers?
- 14 Q. Well, you had mentioned there were some
- 15 very inexpensive items under a dollar--
- 16 A. Okav. Yes.
- 17 Q. --there were some more mid-level-price
- 18 products, and then there were the upper-end
- 19 products such as firearms and safes. Do you
- 20 remember that?
- 21 **A**. Yes.
- Q. Okay. Isn't it true that the bulk of
- the products would probably fall in the category
- of the less-expensive items or the mid-level-price
- products as opposed to the firearms and safes that

- 1 price at about a couple thousand dollars?
- 2 A. Yes.
- Q. Okay. You--would it be--it's fair to
- 4 say that a small percentage of your sales are
- 5 made up of the higher-end items like very
- 6 expensive firearms and safes. Is that correct?
- 7 A. That's fair to say, I would guess, I
- 8 mean, the firearms is a big part of our business,
- 9 but I mean, our average ticket is 60 bucks.
- 10 Q. Okay. That's all the questions I have.
- 11 Actually, let me just--let me flip through here
- 12 real quick just to make sure.
- Oh, okay. Looking back at what was
- 14 marked as Exhibit No. 1--
- 15 **A.** Yes.
- 16 Q. --you identified this as a June 15,
- 17 1995, Sportsman's Warehouse advertisement. Are
- 18 you aware of any other--or do, do you have in
- 19 your possession any other Sportsman's Warehouse
- 20 advertisements that predate this one that's been
- 21 marked as Exhibit No. 1?
- A. We tried, but we do not.
- 23 Q. Okay.
- A. We know this--we advertise every year
- on Father's Day, and so we knew where to look,

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Page 20
1
    but the Christmas ad said Sports.
2
               THE REPORTER: The Christmas ad--
 3
               THE WITNESS: Ad, yes.
               THE REPORTER: Said Sports?
 4
               THE WITNESS: Yeah, Sports Warehouse
 5
 6
     instead of Sportsman.
 7
        FURTHER EXAMINATION
 8
        BY-MR.ALLGEYER:
               Just one follow up: Do you typically
        0.
     run ads between Christmas and Father's Day?
10
11
        A.
               No.
12
               MR. ALLGEYER: Okay. That's all,
13
     thanks.
14
               THE REPORTER: A copy of this one, did
15
     you want?
16
               MR. CAMPBELL: Yes, please.
           (Deposition concluded at 12:03 p.m.)
17
18
19
20 ---
21
22
23
24
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In the matter of Trademark Registration No. 2,390,988, et al. 2/20/07 Page 21 1 CERTIFICATE 2 3 This is to certify that the foregoing deposition was taken before me, SCOTT M. KNIGHT, a 5 Registered Professional Reporter and Notary Public 6 in and for the State of Utah, residing at South 7 Jordan, Utah; 8 That said witness, DALE RICHARD SMITH, 9 was duly sworn, in person before me, to testify 10 the truth, the whole truth, and nothing but the 11 truth; 12 That said deposition was taken in the 13 presence of counsel for Petitioner and Respondent; 14 That said deposition took place at 7035 15 High Tech Drive, Midvale, Utah, on Tuesday, 16 February 20, 2007, at the hour of 11:43 a.m.;

17 That said deposition was taken down by

18 me in stenotype and thereafter caused by me to be

19 transcribed into typewriting, and that a full,

20 true, and correct transcription of said testimony

21 so taken and transcribed is set forth in the

22 foregoing pages.

23 I certify that I am not disqualified as

24 specified in Rule 28 of the Federal Rules of

25 Civil Procedure.

Page 22 I further certify that I am not of kin or otherwise associated with any of the parties to said cause and am not interested in the event thereof. SCOTT M. KNIGHT **NOTARY PUBLIC • STATE OF LITAH** 1972 WEST CURRENT CREEK DRIVE **BOUTH JORDAN, LITAH 84095** Scott M. Knight, R COMM. EXP. 06-19-2008 Utah License No. 110171-7801 

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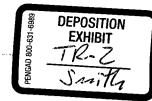




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## State of Utah DEPARTMENT OF COMMERCE

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	Ü	•When ap	proved, your business	name is regist	ered for 3 year	<b>2</b> ●	150	
		*Do not use this	form if this business	will need new	state tax reg	istration*	(00)	
	1,	Requested Busine	ss NameSPORT	SMAN'S WARE	EHOUSE			
	2.	Nature of Business sporting goods						
	3.	Business Address_	7035 South 18	5 West Mi	dvale	Ut Sinte	84047 Zip	
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	•	William Haye	25	11/11	SIRUIT X	miles		
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